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June 24, 2003

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Name

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Date

Attorney's Docket No: 41P17103

Patent

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)	
Ansheng Liu	) Examiner:	Not Yet Assigned
Serial No: Not Yet Assigned	) Art Unit:	Not Yet Assigned
Filing Date: Concurrently herewith	) )	
For: METHOD AND APPARATUS FOR PHASE SHIFTING AN OPTICAL BEAM IN AN OPTICAL DEVICE WITH A BUFFER PLUG	) ) ) )	

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

#### Sir/Madam:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents listed on thereon. It is respectfully requested that the listed documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F	F.R. §1.97(b).
<del></del>		F.R. §1.97(c). If so, then enclosed with this Information Disclosure ment is one of the following:
	A cer	tification pursuant to 37 C.F.R. §1.97(e) or
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6-24-03

James Y. Go

Reg. No. 41,62

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (206) 292-8600 Substitute for Form 1449A/PTO (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known			
Application Number	New Patent Application		
Filing Date	Concurrently herewith		
First Named Inventor:	Ansheng Liu		
Group Art Unit	Not Yet Assigned		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	42D17102		

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Sheet	1		of	2		Attorney Docket Num	ber	42P17103	
	<u>-</u>			U.	S. PATENT	DOCUMENTS			
Exam. Initial*	Cite	U.S. Patent Docu	ıment			Patentee or Applicant Cited Document		Publication of Document	Pages, Columns, Lines, Where Relevant
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Exam. Cite Foreign Patent Document Initial* No.1 Office3 Number4 Kind Code5 (If known)		Name of Ratentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	<b>T</b> 6					
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known					
Application Number	New Patent Application				
Filing Date	Concurrently herewith				
First Named Inventor:	Ansheng Liu				
Group Art Unit	Not Yet Assigned	_			
Examiner Name	Not Yet Assigned				
Attorney Docket Number	42P17103				

	1	OTHER ART - NO PATENT LITERATURE DOCUMENTS	<b>-</b>
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
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Exammer	}	Date	1
Signature	•	 Considered	

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